



12/05/01

12-10-01

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**REQUEST FOR FILING NATIONAL PATENT APPLICATION**  
**Under 35 USC 111(a) and Rule 53(b)**

PATENT APPLICATION

Hon. Commissioner of Patents  
 Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL  
 NON REISSUE  
 NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of  
 Inventor(s): Toru Shirayanagi

Title ENCODING AND DECODING SYSTEM FOR AUDIO  
SIGNALS

Atty. Dkt.: PW 0277025  
 M#

H7606US  
 Client Ref

including:

Date: December 5, 2001

1. Specification: 12 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims
4. ☒ Drawings: 2 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_)
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
 6(a) ☐ National Appln. No. / filed (M#)  
 6(b) ☐ International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_  
 which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_ --
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-371458	December 6, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
 in U.S. Application No. / filed on \_\_\_\_\_
13. Small entity status → ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)
- 13(a) ☐ Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$740/\$370	\$740	101/201
19. Total Effective Claims	11	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	3	minus 3 =	*0	x \$84/\$42 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =				\$740		
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =				\$780		

Our Deposit Account No. 03-3975

Our Order No. 051270 0277025

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Winthrop LLP  
Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT  
027 7025

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application  
Toru Shirayanagi

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: December 5, 2001

For: ENCODING AND DECODING SYSTEM FOR AUDIO  
SIGNALS

**CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**

"Express Mail" Mailing Label No. EL 860 913 395 US

Date of Deposit: December 5, 2001

United States Patent and Trademark Office  
P.O. Box 2327 Patent Application  
Arlington, VA 22202

Dear Sir:

I hereby certify that


- ☒ Letter of transmittal
- ☒ Patent application (12 pages of specification; 11 claims; 1 pages of abstract)
- ☒ A check in the amount of \$740.00 to cover the filing fee
- ☒ A Declaration/Power of Attorney
- ☒ 2 sheets of formal drawings
- ☒ Return postcard
- ☒ Certified copies of (1) Japanese patent application from which priority is claimed
- ☒ ✓ An Assignment of the invention with cover sheet and \$40.00 recordation fee

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

United States Patent and Trademark Office  
P.O. Box 2327 Patent Application  
Arlington, VA 22202

December 5, 2001

Date of Deposit

  
Ernie Sandoval